



# Intrinsically-Typed Definitional Interpreters à la Carte

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Specifying and mechanically verifying type safe programming languages requires significant effort. This effort can in theory be reduced by defining and reusing pre-verified, modular components. In practice, however, existing approaches to modular mechanical verification require many times as much specification code as plain, monolithic definitions. This makes it hard to develop new reusable components, and makes existing component specifications hard to grasp. We present an alternative approach based on intrinsically-typed interpreters, which reduces the size and complexity of modular specifications as compared to existing approaches. Furthermore, we introduce a new abstraction for safe-by-construction specification and composition of pre-verified type safe language components: *language fragments*. Language fragments are about as concise and easy to develop as plain, monolithic intrinsically-typed interpreters, but require about 10 times less code than previous approaches to modular mechanical verification of type safety.

CCS Concepts: • **Theory of computation** → **Program verification**; • **Software and its engineering** → **Formal language definitions**; *Software notations and tools*.

Additional Key Words and Phrases: Type Safety, Modularity, Reuse, Definitional Interpreters, Dependently Typed Programming

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## 1 INTRODUCTION

Type safety is a crucial aspect of designing typed programming languages. According to Pierce [2002], “a type system is a tractable syntactic method for proving the absence of certain program behaviors by classifying phrases according to the kinds of values they compute.” The *type safety property* of a language defines precisely what program behaviors its type system is supposed to rule out. But it is challenging to define one’s type system and interpreter<sup>1</sup> in a way that it satisfies the intended type safety property—or, put differently, that it rules out the “bad” program behaviors it is supposed to. For this reason, programming language researchers often rely on mathematical

<sup>†</sup>Eelco worked on this paper until his untimely passing on April 5, 2022.

<sup>1</sup>It is possible to define the dynamic semantics of a language in many different ways. In this paper, we assume that the dynamic semantics is given by an interpreter.

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proofs to verify that a type system and interpreter satisfies the type safety property. However, constructing a type safety proof can be a labor intensive and complex task.

Our research objective in this paper is to make it as easy as possible for DSL developers to develop and verify the type safety of typed domain-specific languages (DSLs). We propose two sub-objectives that could address this goal together:

- (1) Support *reuse* of common programming language components, so that DSL developers can focus on developing type safe DSL components.
- (2) Make it easy to develop and debug type safe DSL components by automating the task of verifying that a language definition is type safe.

There is previous research that goes towards addressing these sub-objectives *individually*, but no previous research that we are aware of which provides a viable solution to *both* at the same time.

Previous work by [Delaware et al. \[2013a,b\]](#); [Keuchel and Schrijvers \[2013\]](#) suggests a promising direction for addressing the first sub-objective, by modularizing *extrinsic* type safety proofs and interpreters. An extrinsic proof is an inductive proof on the structure of the syntax or typing rules of a language. By using modular extrinsic proof techniques [[Delaware et al. 2013a,b](#); [Keuchel and Schrijvers 2013](#)], domain-specific language designers can compose their interpreter, type system, and type safety proof from pre-proven cases for off-the-shelf components, allowing them to focus on defining and proving the type safety of domain-specific components. However, for the second sub-objective that we gave above, the extrinsic proof style has a number of shortcomings. Most importantly, it is unclear how to automate the task of constructing modular, extrinsic type safety proof cases. We also argue that the extrinsic specification style is not as easy to work with as the alternative *intrinsically-typed* style which we discuss shortly. In particular, interpreters in an extrinsic specification style contain redundant cases for bad behavior that can never happen in a type safe language because the type system rules it out. Furthermore, understanding *when and why* an extrinsic type safety proof case does not hold, is key to finding and fixing type safety errors. But it requires previous experience with inductive proofs to identify what the error is. Domain-specific language designers, however, usually do not have the necessary experience to verify type safety.

A more concise and declarative style of verifying type safety is to write an *intrinsically-typed interpreter* [[Augustsson and Carlsson 1999](#)] in a *dependently-typed host language*. Such interpreters save language designers from having to read and write redundant cases for ill-typed expressions, as the host language type checker can automatically verify that these cases are unreachable in practice. This results in shorter, more declarative specifications that are *safe-by-construction*, in the sense that the type safety of the interpreter follows from the well-typedness of its definition. We do not have to establish type safety in a separate proof: the interpreter *is* the type safety proof. [Wadler et al. \[2020\]](#) observe that, in Agda [[Norell 2009](#)], “extrinsically-typed terms require about 1.6 times as much code as intrinsically-typed”, leading them to suggest that “intrinsic typing is golden”.<sup>2</sup> Another appeal of intrinsically-typed interpreters is that language designers can debug type safety issues by using compiler errors produced by the dependently-typed host language as a guide. While the quality of compile time errors depends on the host language, it does not require previous experience with inductive proof techniques. This makes intrinsically-typed interpreters an attractive approach to developing and debugging type safe languages, because it reduces the amount of work on behalf of DSL developers by taking extrinsic proof obligations for type safety and making them intrinsic to interpreter well-typing. However, intrinsically-typed interpreters fail to address our first sub-objective, since they do not in general support reuse.

<sup>2</sup>A pun referring to the ratio in code size between intrinsically and extrinsically typed, which approximates the golden ratio.

<pre> <b>data</b> Ty : Set <b>where</b>   nat  : Ty   bool : Ty  <b>data</b> Expr : Ty → Set <b>where</b>   lit  : ℕ → Expr nat   add  : Expr nat → Expr nat → Expr nat   tt   : Expr bool   ff   : Expr bool   ite  : Expr bool → Expr t →         Expr t → Expr t </pre>	<pre> Val : Ty → Set Val nat  = ℕ Val bool = Bool  interp : Expr t → Val t interp (lit n)      = n interp (add e<sub>1</sub> e<sub>2</sub>) = interp e<sub>1</sub> + interp e<sub>2</sub> interp tt           = true interp ff           = false interp (ite e e<sub>1</sub> e<sub>2</sub>) = if interp e then interp e<sub>1</sub>                         else interp e<sub>2</sub> </pre>
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Fig. 1. An intrinsically-typed interpreter for a small expression language

In this paper we adapt and combine techniques for modular meta-theory with the intrinsically-typed approach, and develop a new notion of *intrinsically-typed language fragments* and *language fragment composition* that makes it possible to reuse off-the-shelf pre-verified components. Intrinsically-typed language fragments are as concise and declarative and similarly easy to develop and debug as plain, monolithic intrinsically-typed interpreters. Unlike monolithic interpreters, language fragments can be developed and checked in isolation and combined with other fragments to compose type safe languages from reusable components.

### 1.1 Background: Intrinsically-Typed Interpreters

Figure 1 shows an intrinsically-typed interpreter for a simple language with arithmetic (`lit`, `add`) and Boolean (`tt`, `ff`, `ite`) expressions, implemented in Agda [Norell 2009]. It consists of:

- (1) a data type of object language types, `Ty : Set`;
- (2) a function that maps each object language type to a type, `Val : Ty → Set`;
- (3) an indexed data type representing the well-typed object language expressions, `Expr : Ty → Set`;
- (4) an *index-preserving evaluation function* that embeds a type safety theorem by mapping well-typed expression to a value of the same type, `interp : Expr t → Val t` (i.e., “well-typed expressions cannot go wrong”, Milner [1978]).

The key ingredient that allows Agda to verify that the interpreter in Figure 1 is type safe is *dependent pattern matching* [Cockx 2017; Coquand 1992], enabling Agda to infer a precise type for each variable bound by a pattern match clause. For instance, in the clause `interp (add e1 e2) = ...`, Agda infers that `e1` and `e2` have type `Expr nat` in the right hand side of the definition. Indeed, if `e1` or `e2` had any other type, the pattern match would be ill-formed according to the definition of `Expr`. Since `e1` and `e2` : `Expr nat`, Agda can deduce that the recursive calls in `interp e1 + interp e2` must yield natural numbers, as the return type of the calls, `Val nat`, normalizes to `ℕ`. Thus no error handling of type mismatching is needed: thanks to Agda’s type checker we know that *this will not happen*, and we do not have to spell out any redundant cases for going wrong.

### 1.2 Challenge: Intrinsically-Typed Programming Language Fragments

The interpreter in Figure 1 mixes arithmetic and Boolean expressions. If we want to extend or reuse (parts of) this language, we have no option but to modify or copy-paste existing code. A better approach is to assemble interpreters from reusable components. Figure 2 provides an informal illustration of how we might define and check such reusable components in isolation, and compose them with other fragments, to incrementally develop a verified and type safe interpreter. We can

<pre> <b>data</b> Ty : Set <b>where</b>   { ...1 -}   nat : Ty  Val : Ty → Set { ...2 -} Val nat = ℕ  <b>data</b> Expr : Ty → Set <b>where</b>   { ...3 -}   lit  : ℕ → Expr nat   add  : Expr nat → Expr nat → Expr nat  interp : Expr t → Val t { ...4 -} interp (lit n)      = n interp (add e<sub>1</sub> e<sub>2</sub>) = interp e<sub>1</sub> + interp e<sub>2</sub> </pre>	<pre> <b>data</b> Ty : Set <b>where</b>   { ...5 -}   bool : Ty  Val : Ty → Set { ...6 -} Val bool = Bool  <b>data</b> Expr : Ty → Set <b>where</b>   { ...7 -}   tt ff : Expr bool   ite   : Expr bool → Expr t → Expr t → Expr t  interp : Expr t → Val t { ...8 -} interp tt = true interp ff = false interp (ite e e<sub>1</sub> e<sub>2</sub>) = if interp e then interp e<sub>1</sub>                         else interp e<sub>2</sub> </pre>
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Fig. 2. Two intrinsically-typed interpreters for arithmetic expressions (left) and Boolean expressions (right).

compose such fragments by *concatenating* their constructor declarations and function clauses. The Agda comments  $\{ \dots_i - \}$  indicate program points where new constructors or clauses will be inserted during composition. For example, we recover the language from Figure 1 by inserting the constructors of `Ty` (right) at  $\{ \dots_1 - \}$ , the clauses of `Val` (right) at  $\{ \dots_2 - \}$ , and so forth. Throughout this paper we develop a semantics of intrinsically-typed language fragments that supports this kind of composition, without having to re-type-check existing language fragments.

The challenge with defining fragment composition is that not all extensions are well behaved. In particular, extensions that change the *canonical forms* of a type (i.e., removing or adding a new value constructor for an existing type) are problematic. For example, if we add `Val bool = Maybe Bool` at the  $\{ \dots_6 - \}$  position in Figure 2, then the `interp (ite e e1 e2)` case on the right becomes ill-typed. If we were to re-type-check the composed definition, Agda would correctly reject this extended interpreter for being ill-typed. Such re-checking is, however, contrary to the goal of reusing pre-verified components. This raises the question: under which conditions is language fragment composition guaranteed to be well-typed?

This paper answers this question by introducing a subtyping relation for witnessing that canonical forms are preserved when values are extended. That is, the set of constructors for a value of a given type never changes. By using this subtyping relation in our definition of language fragments and language fragment composition, we automatically rule out bad extensions, such as the `Val bool = Maybe Bool` extension discussed above.

### 1.3 Contributions

Working in Agda<sup>3</sup>, we make the following technical contributions:

- We extend (in Section 3) the techniques (which we recall in Section 2) from *data types à la carte* [Swierstra 2008] to intrinsically-typed interpreters, and introduce a subtyping relation

<sup>3</sup>The code in his paper is available in the accompanying artifact [Van der Rest et al. 2022].

for canonical forms. This relation allows us to define cases of modular intrinsically-typed interpreters in a way that supports type safe composition.

- We introduce (in Section 4) *intrinsically-typed language fragments*, which bundle the syntax and semantics of one or more language constructs. Using a general type union, we define *canonical form unions* to support composition of language fragments with overlapping values.
- We generalize (in Section 5) our framework from Agda’s *Set* to a broader class of semantic domains. With this generalization, we can modularly define languages with effects such as name binding, exceptions, and mutable state, provided that we choose a semantic domain that supports these effects upfront.
- We demonstrate (in Section 5) how language fragments support reuse by developing a small library of pre-verified language components, and reusing these to compose different languages.

These contributions demonstrate that modern dependently typed languages such as Agda or Idris can take us far toward addressing the two sub-objectives from the introductory paragraphs of this paper. However, it would be an overstatement to say that our contributions address the research objective of making it “as easy as possible for DSL developers to develop and verify the type safety of typed domain-specific languages (DSLs)”. Our long-term ambition is to take the model that underpins our generic Agda framework, and implement it in a new meta-language that lowers the barrier for entry, and allows language designers to build verified DSLs from reusable components. The language fragment composition operation that we introduce in Section 4 provides a promising model for how language component reuse could work in such a meta-language.

## 2 DATA TYPES À LA CARTE

We recall how *data types à la carte* [Swierstra 2008] lets us define *open* data types and functions. The remaining sections of this paper extend and build upon this framework. Our exposition closely follows the original exposition by Swierstra, with one difference: we encode types à la carte in Agda using *containers* [Abbott et al. 2005; Altenkirch et al. 2015].<sup>4</sup>

### 2.1 Composing Data Types

The idea behind data types à la carte is to encode data type definitions as data. By treating data type definitions as data we can explicitly manipulate them, but also recover their meaning by mapping to Agda data types. We explain how to encode data type definitions as *signatures* that can be mapped to plain Agda data types (Section 2.1.1), how to compose them using *signature composition* (Section 2.1.2), and how to define *open data type constructors* using *signature subtyping* (Section 2.1.3).

**2.1.1 Signatures.** A signature describes a set of data type constructors. The following *record type* in Agda defines a type of signatures. We dub the record type *Signature*, but it corresponds to what is commonly known as a *finitary container* [Abbott et al. 2005; Altenkirch et al. 2015].<sup>5</sup>

```
record Signature : Set where
  constructor _▷_
  field Symbols : Set
       Arity    : Symbols → ℕ
```

<sup>4</sup>The reason we use containers instead of the signature functors that Swierstra [2008] uses is that the fixpoint of signature functors is *not strictly positive* and hence rejected by Agda (and other dependently-typed languages). The idea of using containers to implement data types à la carte in a dependently-typed language is due to Keuchel and Schrijvers [2013].

<sup>5</sup>Most sections of this paper could also be defined in terms of plain containers whose *Arity* is not restricted to be finite. We use finite containers because they make the presentation of data types more uniform (sub-expressions are always given by a vector as we illustrate next). We could have used plain, infinitary containers instead.

**Signature** records can be constructed using  $\_▷\_$ <sup>6</sup>, as indicated by the keyword “constructor”. Such records comprise a **set**<sup>7</sup> of constructor **Symbols**, and a function that associates an **Arity** (given by a natural number) with each constructor symbol.

To illustrate how signatures encode inductive data types, we compare the plain inductive definitions (top) with their encoding as signatures (bottom):<sup>8</sup>

<pre> <b>data</b> ArithExpr : Set <b>where</b>   lit  : ℕ → ArithExpr   add  : ArithExpr → ArithExpr → ArithExpr  <b>data</b> ArithExprSymbols : Set <b>where</b>   lit  : ℕ → ArithExprSymbols   add  : ArithExprSymbols  ArithExprΣ = ArithExprSymbols ▷ (λ <b>where</b>   (lit n) → 0; add → 2) </pre>	<pre> <b>data</b> BoolExpr : Set <b>where</b>   tt ff : BoolExpr   ite   : (e e<sub>1</sub> e<sub>2</sub> : BoolExpr) → BoolExpr  <b>data</b> BoolExprSymbols : Set <b>where</b>   tt ff : BoolExprSymbols   ite   : BoolExprSymbols  BoolExprΣ = BoolExprSymbols ▷ (λ <b>where</b>   ite → 3; tt → 0; ff → 0) </pre>
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We recover an inductive data type from a signature by taking a fixpoint of the corresponding *signature functor*. The function  $\llbracket \_ \rrbracket$  defines this functor, and the type  $\mu$  its fixpoint—that is, the type of syntax trees whose constructors are given by  $\sigma$ .<sup>9</sup>

<pre> <math>\llbracket \_ \rrbracket</math> : Signature → (Set → Set) <math>\llbracket \sigma \rrbracket A = \Sigma [ s : \text{Symbols } \sigma ] (\text{Vec } A (\text{Arity } \sigma s))</math> </pre>	<pre> <b>data</b> <math>\mu (\sigma : \text{Signature}) : \text{Set} <b>where</b>   <math>\langle \_ \rangle : \llbracket \sigma \rrbracket (\mu \sigma) \rightarrow \mu \sigma</math> </math></pre>
---	--

Taking the least fixpoint of the **ArithExprΣ** signature yields a type that is equivalent to **ArithExpr**:

<pre> example<sub>0</sub> : ArithExpr example<sub>0</sub> = lit 42  example<sub>1</sub> : ArithExpr example<sub>1</sub> = add (lit 11) (lit 31) </pre>	<pre> example<sub>0</sub>' : <math>\mu</math> ArithExprΣ example<sub>0</sub>' = <math>\langle \text{lit } 42, [] \rangle</math>  example<sub>1</sub>' : <math>\mu</math> ArithExprΣ example<sub>1</sub>' = <math>\langle \text{add}, \langle \text{lit } 11, [] \rangle :: \langle \text{lit } 31, [] \rangle :: [] \rangle</math> </pre>
--	---

**2.1.2 Signature Composition.** Signatures can be composed by taking the disjoint union of their symbols and arities. The function  $\text{:+:}$  defines this disjoint composition using the usual sum type ( $\uplus$ ):

<pre> <math>\_ \text{:+:} \_</math> : Signature → Signature → Signature <math>\sigma_1 \text{:+:} \sigma_2 = (\text{Symbols } \sigma_1 \uplus \text{Symbols } \sigma_2) ▷ (\lambda \text{ <b>where</b></math>   (inj<sub>1</sub> s) → Arity <math>\sigma_1</math> s   (inj<sub>2</sub> s) → Arity <math>\sigma_2</math> s) </pre>	<pre> <b>data</b> <math>\_ \uplus \_ (A B : \text{Set}) : \text{Set} <b>where</b>   inj<sub>1</sub> : A → A <math>\uplus</math> B   inj<sub>2</sub> : B → A <math>\uplus</math> B </math></pre>
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Using disjoint signature composition we can define signatures in isolation and compose them without having to re-check them. For example, we can compose the **ArithExprΣ** signature with **BoolExprΣ**, accommodating expressions that mix arithmetic and Boolean expressions. For example, the term below encodes a simple if-then-else expression (**ite** **tt** 42 0):

```

example2 :  $\mu$  (ArithExprΣ :+: BoolExprΣ)
example2 =  $\langle \text{inj}_2 \text{ ite}, \langle \text{inj}_2 \text{ tt}, [] \rangle :: \langle \text{inj}_1 (\text{lit } 42), [] \rangle :: \langle \text{inj}_1 (\text{lit } 0), [] \rangle :: [] \rangle$ 

```

<sup>6</sup>The underscores in the name  $\_▷\_$  indicate the argument positions of the mixfix operator.

<sup>7</sup>**Set** is the type of types in Agda. To rule out inconsistencies, Agda has an infinite hierarchy of **Sets** (i.e., **Set** : **Set**<sub>1</sub> : ...), and the **Signature** type that we define really lives in **Set**<sub>1</sub>, since one of its fields is itself a **Set**. For presentation purposes, we abstract from universe levels and write **Set** everywhere.

<sup>8</sup>The notation **tt ff** : **BoolExpr** is syntactic sugar for two separate constructor declarations **tt** : **BoolExpr** and **ff** : **BoolExpr**.

<sup>9</sup>The notation  $\Sigma [ x : A ] (B x)$  denotes a *dependent pair* of a value  $x : A$  and a value of type  $B x$  for some  $B : A \rightarrow \text{Set}$ .



The repeated applications of `inji` make working with composed signatures cumbersome. Following Swierstra [2008], we address this using signature subtyping and smart constructors.

**2.1.3 Signature Subtyping and Smart Constructors.** Smart constructors construct instances of a data type whose full set of constructors is left open. For example, the following function constructs a literal in the syntax tree of any signature  $\sigma$  that contains the symbol/arity pairs of `ArithExprΣ`:<sup>10</sup>

`lit' : {σ : ArithExprΣ ≤ σ} → ℕ → μ σ`

The  $\sigma$  in the type of `lit'` is thus decided by the context that it is used in, enabling us to flexibly reuse `lit'` in different contexts. The source of this flexibility is signature subtyping:<sup>11</sup>

```
record _≤_ (σ1 σ2 : Signature) : Set where
  field inj      : {σ1} A → {σ2} A
  field proj     : {σ2} A → Maybe {σ1} A
  field proj-inj : {x : {σ1} A} → proj (inj x) ≡ just x
  field inj-proj : {x : {σ1} A} {y : {σ2} A} → proj y ≡ just x → inj x ≡ y
```

The type  $\sigma_1 \leq \sigma_2$  witnesses that it is always possible to *inject* elements in the interpretation of  $\sigma_1$  into the interpretation of  $\sigma_2$ , whereas the converse *projection* is only partial. The `proj-inj` and `inj-proj` fields establishes that injection and projection are partial inverses.

It is possible to automatically search for injections into co-products using instance parameters [Devriese and Piessens 2011]. We elide the definition of the necessary instances, but they are entirely analogous to the instances found in the original data types à la carte framework [Swierstra 2008]. The code accompanying this paper also contains the implementation.

Using signature subtyping we can implement the above-mentioned smart constructor for `lit'`. We use the smart `inject` function on the left below to implement the smart constructor on the right:

<pre>inject : {σ<sub>1</sub> ≤ σ<sub>2</sub>} → {σ<sub>1</sub>} (μ σ<sub>2</sub>) → μ σ<sub>2</sub> inject x = &lt; inj x &gt;</pre>	<pre>lit' : {σ : ArithExprΣ ≤ σ} → ℕ → μ σ lit' n = inject (lit n, [])</pre>
--	--

By defining similar smart constructors for Boolean expressions, `example2` from above can be implemented more concisely as follows:

```
example2' : μ (ArithExprΣ :+: BoolExprΣ)
example2' = ite' tt' (lit' 42) (lit' 0)
```

## 2.2 Composing Functions

We recall how to define a function by cases using data types à la carte.

**2.2.1 Algebras.** The function `fold` transforms a tree of type  $\mu \sigma$  into a value of type  $A$ :

`fold : ({σ} A → A) → μ σ → A`

The parameter of type  $(\{ \sigma \} A \rightarrow A)$  is called an *algebra*, and determines how to turn a constructor whose sub-trees are already folded into a value of type  $A$ , into a value of type  $A$ . We abbreviate the type of algebras using the following alias (left):

<sup>10</sup>The double braces  $\{ \dots \}$  declare an instance parameter. Instance parameters are similar to type class constraints in Haskell or implicit parameters in Scala: when invoking a function with an instance parameter, an automatic search is performed during type checking time at the call-site of the function. The search will either automatically find an instance that has the correct type, or cause type checking to fail if Agda cannot find an instance parameter of the expected type.

<sup>11</sup>The curly braces in `proj-inj : {x : F A} → ...` are implicit function arguments that Agda will attempt to automatically infer when we construct a record element. The  $\equiv$  type is propositional equality.

Algebra : Signature  $\rightarrow$  Set  $\rightarrow$  Set  
 Algebra  $\sigma$  A =  $\llbracket \sigma \rrbracket$  A  $\rightarrow$  A

ArithAlg : Algebra ArithExpr  $\Sigma$   $\mathbb{N}$   
 ArithAlg (lit  $n$ ,  $\llbracket \rrbracket$ ) =  $n$   
 ArithAlg (add  $, n_1 :: n_2 :: \llbracket \rrbracket$ ) =  $n_1 + n_2$

The `ArithAlg` function defines an algebra that evaluates arithmetic expressions to natural numbers. The patterns  $n$  and  $m$  bound by matching on the `add` constructor are not expressions, but rather the numbers that result from evaluating the expressions in those positions. The function `fold` takes care of evaluating sub-expressions, and is defined as follows:

fold : Algebra  $\sigma$  A  $\rightarrow \mu \sigma \rightarrow A$   
 fold  $f \langle s, v \rangle = f(s, \text{map-fold } f v)$   
 map-fold : Algebra  $\sigma$  A  $\rightarrow \text{Vec } (\mu \sigma) n \rightarrow \text{Vec } A n$   
 map-fold  $f \llbracket \rrbracket = \llbracket \rrbracket$   
 map-fold  $f (x :: v) = \text{fold } f x :: \text{map-fold } f v$

To pass Agda's termination checker, we must inline the definition of `map` for lists (`map-fold`), which applies `fold` to recursive sub-expressions.

**2.2.2 Algebra Composition.** We can sum algebras using the  $\oplus$  operator given below.

$\_ \oplus \_$  : Algebra  $\sigma_1$  A  $\rightarrow$  Algebra  $\sigma_2$  A  $\rightarrow$  Algebra  $(\sigma_1 :+: \sigma_2)$  A  
 ( $f \oplus g$ ) (inj<sub>1</sub>  $s, v$ ) =  $f(s, v)$   
 ( $f \oplus g$ ) (inj<sub>2</sub>  $s, v$ ) =  $g(s, v)$

Summing two algebras over two signatures  $\sigma_1$  and  $\sigma_2$  thus yields a larger algebra for the signature composition  $\sigma_1 :+: \sigma_2$ : This algebra sum operator only works for algebras with the *same carrier type* A : Set. This implies that the `ArithAlg` algebra can *only* be composed with algebras that also use  $\mathbb{N}$  as their carrier. This excludes, for example, the composition of `ArithAlg` with an algebra for Boolean expressions with `Bool` as its carrier type.

We can allow such compositions by defining algebras with an *open* carrier type; i.e., using signature subtyping. The idea is to represent values as signatures, and use signature subtyping to assert what value constructors each algebra *at least* requires; e.g.:

ArithAlg<sub>0</sub> :  $\llbracket \text{NatVal} \Sigma \leq \sigma \rrbracket \rightarrow \llbracket \text{StuckVal} \Sigma \leq \sigma \rrbracket \rightarrow \text{Algebra ArithExpr} \Sigma (\mu \sigma)$

The carrier of this algebra is the fixpoint of *some* signature  $\sigma$ , about which we only know that it contains at least the constructors described by `NatVal` and `StuckVal`. As the names suggest, `NatVal` describes natural number values, and `StuckVal` represents a *stuck* value. Stuck values are needed because the recursive positions of `ArithExpr` are not intrinsically guaranteed to return numbers, and if they do not, interpretation gets stuck. By defining a similar algebra for `BoolExpr`, we can assemble an interpreter that we can use to evaluate `example2'`:

interpArithBool :  $\mu (\text{ArithExpr} \Sigma :+: \text{BoolExpr} \Sigma)$   
 $\rightarrow \mu (\text{NatVal} \Sigma :+: \text{BoolVal} \Sigma :+: \text{StuckVal} \Sigma)$   
 interpArithBool = fold (ArithAlg<sub>0</sub>  $\oplus$  BoolAlg)  
 test : interpArithBool example<sub>2</sub>'  
 $\equiv \text{inject } (\text{nat } 42, \llbracket \rrbracket)$   
 test = refl

### 2.3 Discussion

The interpreters that we can write using the techniques shown in this section are inherently weakly typed. This weak typing means that we must define values as a data type with separate constructors for each kind of a value. As a result, the algebras we define describe partial functions, returning stuck if a recursively evaluated value is not tagged with the right constructor. By contrast, the intrinsically-typed interpreter shown in the introduction is tagless: Agda uses the type index to figure out what kind of value we are dealing with, allowing us to work with bare values instead. As



a result this interpreter is type-safe by construction. To define such interpreters modularly we lift data types à la carte to indexed types, defining the following types in a composable way:

$Ty : Set$	$Val : Ty \rightarrow Set$	$Expr : Ty \rightarrow Set$	$interp : Expr\ t \rightarrow Val\ t$
------------	----------------------------	-----------------------------	---------------------------------------

The key challenge is that the clauses of `interp` may use dependent pattern matching on values `Val t` at an index type `t : Ty`. If we know exactly what `t` is, we only have to consider the cases that `Val` associates with that type. But if `Val` and `Ty` are open-ended, how do we know that these will remain to be the only possible values for `t`?

The answer to this question is to ensure that values have *canonical forms*. Canonical forms lemmas are a widely-used technique for making type safety proofs robust under extension and composition [Delaware et al. 2013a; Pierce 2002; Wright and Felleisen 1994]. In the next section we show that this technique, in conjunction with *indexed* data types à la carte, provides exactly the abstraction we need to encode composable intrinsically-typed interpreters.

### 3 INDEXED DATA TYPES À LA CARTE FOR COMPOSABLE INTRINSICALLY-TYPED INTERPRETERS

We extend the data types à la carte framework to *indexed* data types, and encode *intrinsically-typed interpreters* in this framework as follows:

- We encode types `Ty : Set` as a plain *signature* (Section 3.1).
- We encode values `Val : Ty → Set` as an *algebra* over object language type signatures (Section 3.2).
- We encode expressions `Expr : Ty → Set` as an *indexed signature* with an *open index type* (Section 3.3) such that we can add new expression- or type constructors, without having to modify or re-check existing expression constructors.
- We encode interpreters `interp : Expr t → Val t` as an *indexed algebra* over the indexed signatures of object language expressions (Section 3.4). These indexed algebras have an *open carrier type* and an *open index type*, allowing us to can add new expression constructors, interpreter cases, types, and values, without modifying or re-checking existing code. It is crucial that the carrier of these indexed algebras is only open to extensions that *preserve canonical forms*.

#### 3.1 Composing Index Types

Since the index type `Ty : Set` is a plain data type, we can use plain data types à la carte to encode it as a signature. For example, below on the left is a data type `NatTy` representing a notion of object language type with a single type constructor, and on the right is its signature encoding:

<code>data NatTy : Set where</code> <code>  nat : NatTy</code>	<code>data NatTyShape : Set where nat : NatTyShape</code> <code>NatTyΣ = NatTyShape ▷ (λ _ → 0)</code>
---	---

By similarly encoding a Boolean type constructor as a signature `BoolTyΣ`, we can compose a signature that encodes the object language types of the interpreter from Figure 1:

`ArithBoolTyΣ = NatTyΣ :+: BoolTyΣ`

#### 3.2 Composing Intrinsically-Typed Values

The intrinsically-typed interpreter in Figure 1 defines `Val : Ty → Set` as a function. This function maps object language types to their *canonical forms* (i.e., the set of possible value constructors) of that type. (Note that it is also possible to model `Val` as a data type, but a benefit of modeling `Val` as a function is that values are *tag-less* [Augustsson and Carlsson 1999], which avoids the need to tag and untag values in the interpreter.) Since `Ty : Set` is encoded as a signature, we can encode `Val` as an algebra over that signature. The following algebras define the canonical forms of `nat` and `bool`:

$\text{NatVal} : \text{Algebra NatTy}\Sigma \text{ Set}$   
 $\text{NatVal} (\text{nat}, []) = \mathbb{N}$

$\text{BoolVal} : \text{Algebra BoolTy}\Sigma \text{ Set}$   
 $\text{BoolVal} (\text{bool}, []) = \text{Bool}$

We can compose these algebras using the algebra sum operation from Section 2.2:

$\text{ArithBoolVal} : \text{Algebra ArithBoolTy}\Sigma \text{ Set}$   
 $\text{ArithBoolVal} = \text{NatVal} \oplus \text{BoolVal}$

Folding  $\text{ArithBoolVal}$  over  $\text{ArithBoolTy}\Sigma$  yields a function that is isomorphic to  $\text{Val}$  from Figure 1.

### 3.3 Composing Intrinsically-Typed Expressions

The expressions of the intrinsically-typed interpreter in Figure 1 are defined as an *indexed data type*  $\text{Expr} : \text{Ty} \rightarrow \text{Set}$  whose index type is  $\text{Ty}$ . To define this type in a composable way, we lift the notion of signature from the data types à la carte framework discussed in Section 2 to *indexed signatures*, and obtain a framework for *indexed data types à la carte*.

**3.3.1 Indexed Signatures.** Below is the type of *indexed signatures* that describe  $I$ -indexed data types. We dub this type  $\text{ISignature } I$ , but this type is also commonly known as a *finitary indexed container* [Altenkirch et al. 2015]:

**record**  $\text{ISignature } (I : \text{Set}) : \text{Set}_1$  **where**  
**constructor**  $\_ \blacktriangleright \_$   
**field**  $\text{ISymbols} : I \rightarrow \text{Set}$   
 $\text{Indices} : \{i : I\} \rightarrow \text{ISymbols } i \rightarrow \text{List } I$

The  $\text{ISymbols}$  field relates each index to a set of symbols, and the  $\text{Indices}$  field associates the symbols at each index with a list whose length represents the arity of each constructor symbol, and whose elements describe what the index (of type  $I$ ) of each recursive position is. We can interpret indexed signatures as indexed data types, just as we interpreted signatures as plain data types (Section 2):

$\text{I}[\_] : \text{ISignature } I \rightarrow (I \rightarrow \text{Set}) \rightarrow (I \rightarrow \text{Set})$       **data**  $\text{I}\mu (\zeta : \text{ISignature } I) : I \rightarrow \text{Set}$  **where**  
 $\text{I}[\zeta] : P \rightarrow i \rightarrow \Sigma [s : \text{ISymbols } \zeta i] (\text{All } P (\text{Indices } \zeta s))$        $\text{I}[\_] : \{i : I\} \rightarrow \text{I}[\zeta] (\text{I}\mu \zeta) i \rightarrow \text{I}\mu \zeta i$

The implementation of the  $\text{I}[\_]$  function uses the following  $\text{All}$  relation on lists, which asserts that each element in a given  $\text{List } I$  satisfies a given proposition  $P : I \rightarrow \text{Set}$ :

**data**  $\text{All} (P : I \rightarrow \text{Set}) : \text{List } I \rightarrow \text{Set}$  **where**  
 $[] : \text{All } P []$   
 $_: : \{i : I\} \{xs : \text{List } I\} \rightarrow P i \rightarrow \text{All } P xs \rightarrow \text{All } P (i :: xs)$

We can think of  $\text{I}[\zeta]$  as mapping an indexed signature  $\zeta$  to its corresponding signature functor on  $I$ -indexed types, similarly to how  $[\sigma]$  maps a plain signature to its corresponding signature functor on plain types.

**3.3.2 Indexed Signature Composition.** Two indexed signatures with the same index type  $I$  can be summed into a larger signature that comprises the shapes and indices of both:

$\_ : ++ \_ : \text{ISignature } I \rightarrow \text{ISignature } I \rightarrow \text{ISignature } I$   
 $\text{ISymbols } (\zeta_1 : ++ \zeta_2) i = \text{ISymbols } \zeta_1 i \uplus \text{ISymbols } \zeta_2 i$   
 $\text{Indices } (\zeta_1 : ++ \zeta_2) (\text{inj}_1 s) = \text{Indices } \zeta_1 s$   
 $\text{Indices } (\zeta_1 : ++ \zeta_2) (\text{inj}_2 s) = \text{Indices } \zeta_2 s$

Using these ingredients we can now define composable indexed expression types corresponding to the  $\text{Expr} : \text{Ty} \rightarrow \text{Set}$  from Figure 1 where the index type  $\text{Ty}$  is *fixed*. However, since new language fragments may introduce new object language type constructors, we need to model  $\text{Ty}$  in a way that allows such extensions.

**3.3.3 Indexed Signatures with Open Index Types.** We can define signatures whose index type is open by using the subtyping relation  $\leq$  (Section 2.1.3) to witness a lower bound on the index type, just like we did in Section 2.2.2. The indexed signature below thus describes intrinsically-typed arithmetic expressions whose type constructors are described by any signature  $\sigma$  that contains *at least* the constructors described by  $\text{NatTy}\Sigma$ :

```
data IArithExprSymbols  $\llbracket \_ : \text{NatTy}\Sigma \leq \sigma \rrbracket : \mu \sigma \rightarrow \text{Set}$  where
  val  $\mathbb{N} \rightarrow \text{IArithExprSymbols}$  (inject (nat , []))
  add : IArithExprSymbols (inject (nat , []))

IArithExpr $\Sigma : \llbracket \_ : \text{NatTy}\Sigma \leq \sigma \rrbracket \rightarrow \text{ISignature}$  ( $\mu \sigma$ )
IArithExpr $\Sigma = \text{IArithExprSymbols} \blacktriangleright (\lambda$  where
  (val  $\_ \rightarrow []$ 
  add  $\rightarrow \text{inject (nat , [])} :: \text{inject (nat , [])} :: []$ )
```

By defining Boolean expressions in a similar manner, we can compose indexed signatures with open index types:

```
IArithBoolExpr $\Sigma : \llbracket \_ : \text{NatTy}\Sigma \leq \sigma \rrbracket \rightarrow \llbracket \_ : \text{BoolTy}\Sigma \leq \sigma \rrbracket \rightarrow \text{ISignature}$  ( $\mu \sigma$ )
IArithBoolExpr $\Sigma = \text{IArithExpr}\Sigma :++: \text{IBoolExpr}\Sigma$ 
```

By defining a similar subtyping relation for indexed signatures as we did for plain signatures, we can define smart constructors for indexed types, similarly to how we defined smart constructors for plain types in Section 2.1.3. We elide this relation for brevity, and refer the interested reader to the code accompanying the paper where it can be found.

### 3.4 Composing Index-Preserving Functions

Our goal is to use indexed algebras to encode interpreters of type  $\text{interp} : \text{Expr } t \rightarrow \text{Val } t$  whose index type  $\text{Ty} : \text{Set}$  and value type  $\text{Val} : \text{Ty} \rightarrow \text{Set}$  are *open*. That is, we should define  $\text{interp}$  in a way that we can add new constructors to  $\text{Ty} : \text{Set}$  and  $\text{Val} : \text{Ty} \rightarrow \text{Set}$  and ensure that pattern matches inside  $\text{interp}$  on values remain exhaustive. We realize this goal by defining a subtyping relation in Section 3.4.2 that characterizes such safe extensions. But first, we need indexed algebras.

**3.4.1 Indexed Algebras.** We can generically fold a tree of type  $\text{l}\mu \zeta i$  into a value of type  $P i$  using the following function, where  $P : I \rightarrow \text{Set}$ :

```
ifold :  $\forall [ \llbracket \zeta \rrbracket P \Rightarrow P ] \rightarrow \forall [ \text{l}\mu \zeta \Rightarrow P ]$ 
```

Its implementation is analogous to the implementation of  $\text{fold}$  from Section 2.2.1, and its type uses the following abbreviations for indexed types (both from the Agda Standard Library<sup>12</sup>):

$\forall [ \_ ] : (I \rightarrow \text{Set}) \rightarrow \text{Set}$	$\_ \Rightarrow \_ : (I \rightarrow \text{Set}) \rightarrow (I \rightarrow \text{Set}) \rightarrow (I \rightarrow \text{Set})$
$\forall [ \_ ] \{ I \} P = \{ i : I \} \rightarrow P i$	$(P \Rightarrow Q) i = P i \rightarrow Q i$

We call the function parameter  $\forall [ \llbracket \zeta \rrbracket P \Rightarrow P ]$  an *indexed algebra*, which we will abbreviate using the following alias:

<sup>12</sup><https://github.com/agda/agda-stdlib>

$\text{IAlgebra} : (\zeta : \text{ISignature } I) (P : I \rightarrow \text{Set}) \rightarrow \text{Set}$   
 $\text{IAlgebra } \zeta P = \forall [I \llbracket \zeta \rrbracket P \Rightarrow P]$

Just like plain algebras, indexed algebras are closed under indexed signature sums:

$\_:\oplus\_ : \text{IAlgebra } \zeta_1 P \rightarrow \text{IAlgebra } \zeta_2 P \rightarrow \text{IAlgebra } (\zeta_1 .++ \zeta_2) P$   
 $(f:\oplus : g) (\text{inj}_1 s, a) = f(s, a)$   
 $(f:\oplus : g) (\text{inj}_2 s, a) = g(s, a)$

This sum operation assumes that both summands have the *same* carrier,  $P$ . To sum indexed algebras corresponding to intrinsically-typed interpreters with *different* notions of types and values, we need a subtyping relation that witnesses what values (or *canonical forms*) a carrier type *at least* has.

**3.4.2 Canonical Forms Subtyping.** Our goal is to define indexed algebras with open carrier types in the style illustrated by `interpArithAlg` below:

$\text{interpArithAlg} : \{ \_ : \text{NatVal} \subseteq W \} \rightarrow \text{IAlgebra } \text{IArithExpr}\Sigma (\text{fold } W)$   
 $\text{interpArithAlg } (\text{val } n, \quad []) = \uparrow n$   
 $\text{interpArithAlg } (\text{add } , n_1 :: n_2 :: []) = \uparrow (\downarrow n_1 + \downarrow n_2)$

Here,  $\_ \subseteq \_$  denotes value subtyping. In order to define composable intrinsically-typed interpreters, this subtyping relation should witness that we can *safely* convert between `NatVal` and  $W$ . In the definition of `interpArith`, we use  $\uparrow$  to represent a safe “upcast” from `NatVal` to  $W$ , and  $\downarrow$  to represent a safe “downcast” from  $W$  to `NatVal`. We define  $\_ \subseteq \_$  in terms of a *type isomorphism*:

**record**  $\_ \leftrightarrow \_ (A B : \text{Set}) : \text{Set where}$   
**field**  $\text{inj} \leftrightarrow : A \rightarrow B$   
**field**  $\text{proj} \leftrightarrow : B \rightarrow A$   
 $\text{proj-inj} \leftrightarrow : \{a : A\} \rightarrow \text{proj} \leftrightarrow (\text{inj} \leftrightarrow a) \equiv a$   
 $\text{inj-proj} \leftrightarrow : \{b : B\} \rightarrow \text{inj} \leftrightarrow (\text{proj} \leftrightarrow b) \equiv b$

The  $\text{inj} \leftrightarrow$  and  $\text{proj} \leftrightarrow$  fields lets us convert any  $A$  into a  $B$  and vice versa. The  $\text{proj-inj} \leftrightarrow$  and  $\text{inj-proj} \leftrightarrow$  fields restrict  $\text{inj} \leftrightarrow$  and  $\text{proj} \leftrightarrow$  to proper inverses.

Intuitively, a witness of the form  $W_1 \subseteq W_2$  tells us that  $W_1$  and  $W_2$  define *the same values for the same types*, but  $W_2$  may define values for more types than  $W_1$ .

**record**  $\_ \subseteq \_ (W_1 : \text{Algebra } \sigma_1 \text{ Set}) (W_2 : \text{Algebra } \sigma_2 \text{ Set}) : \text{Set where}$   
**field**  $\{ \leq\text{-type} \} : \sigma_1 \leq \sigma_2$   
**canonical**  $: \{V : T \rightarrow \text{Set}\} \rightarrow (t : \llbracket \sigma_1 \rrbracket T) \rightarrow W_1 (\text{fmap } V t) \leftrightarrow W_2 (\text{fmap } V (\text{inj } t))$

The  $\leq\text{-type}$ <sup>13</sup> field establishes that  $W_2$  is defined on the same (or more) types as  $W_1$ . The **canonical** field asserts that  $W_1$  and  $W_2$  define *the same value* (up to isomorphism) for every shared type. We express this fact by requiring that  $W_1$  and  $W_2$  are isomorphic for all shared types  $t$ , invariant of the type of its sub-trees ( $T$ ), or the way sub-trees are mapped to values ( $V$ ). To apply  $V$  to the sub-trees in  $t$ , we use the function `fmap`:

$\text{fmap} : (f : A \rightarrow B) \rightarrow \llbracket \sigma \rrbracket A \rightarrow \llbracket \sigma \rrbracket B$   
 $\text{fmap } f(s, v) = (s, \text{map } f v)$

Finally, we can implement the safe up-casting ( $\uparrow$ ) and down-casting ( $\downarrow$ ) operations:

<sup>13</sup>By wrapping the  $\leq\text{-type}$  field of this record type in instance argument brackets, instance parameter search will be able to automatically resolve this field projection. For example, the `inj` field projection that occurs in the **canonical** field of the  $\_ \subseteq \_$  record type is implicitly projecting from  $\leq\text{-type}$ .

$$\begin{aligned} \uparrow : \llbracket \_ : V \subseteq W \rrbracket &\rightarrow \forall \{t\} \rightarrow V(\text{fmap}(\text{fold } W) t) \rightarrow \text{fold } W(\text{inject } t) \\ \downarrow : \llbracket \_ : V \subseteq W \rrbracket &\rightarrow \forall \{t\} \rightarrow \text{fold } W(\text{inject } t) \rightarrow V(\text{fmap}(\text{fold } W) t) \end{aligned}$$

The implementation of these functions uses the type isomorphism in the *canonical* field of the  $\llbracket \_ : V \subseteq W \rrbracket$  instance argument to safely convert between the values computed by the sub- and super algebras. Comparing with Section 2, we can think of  $\uparrow$  as the intrinsically-typed counterpart to *inject*, and of  $\downarrow$  as the intrinsically-typed counterpart to a weakly-typed projection function. The crucial difference is that  $\downarrow$  is a total function, whereas *project* may return *nothing*.

**3.4.3 Indexed Algebra Composition.** Indexed algebras can be composed in the same way as plain algebras. For example, we can compose *interpArithAlg* with the following indexed algebra for evaluating intrinsically-typed Boolean expressions:

```
interpBoolAlg :  $\llbracket \_ : \text{BoolVal} \subseteq W \rrbracket \rightarrow \text{IAlgebra } \text{IBoolExpr}\Sigma (\text{fold } W)$ 
interpBoolAlg (tt , []) =  $\uparrow$  true
interpBoolAlg (ff , []) =  $\uparrow$  true
interpBoolAlg (ite , v :: v1 :: v2 :: []) = if  $\downarrow$  v then v1 else v2

interpArithBoolAlg :  $\llbracket \_ : \text{NatVal} \subseteq W \rrbracket \rightarrow \llbracket \_ : \text{BoolVal} \subseteq W \rrbracket$ 
                     $\rightarrow \text{IAlgebra } \text{IArithBoolExpr}\Sigma (\text{fold } W)$ 
interpArithBoolAlg = interpArithAlg  $\circledast$  interpBoolAlg
```

Using these indexed algebras, we can now evaluate expressions given by the fixpoint of an indexed signature, using *lfold*:

```
lfold : IAlgebra  $\zeta$  P  $\rightarrow \forall [I\mu \zeta \Rightarrow P]$ 
```

This yields an interpreter that is analogous to the interpreter from Figure 1 in the introduction:

```
interpArithBool : I $\mu$  IArithBoolExpr $\Sigma$  t  $\rightarrow \text{fold } (\text{NatVal} \oplus \text{BoolVal}) t$ 
interpArithBool = lfold interpArithBoolAlg
```

Unlike the interpreter from the introduction, this interpreter is assembled from separately-defined, reusable components—i.e., *interpArithAlg* and *interpBoolAlg*.

### 3.5 Discussion

This section showed how to assemble intrinsically-typed interpreters using *indexed* data types à la carte and canonical forms subtyping. While this approach allows us to assemble languages, the notion of *language fragment* that we illustrated in Figure 2 in the introduction remains informal. There are three reasons why it is useful to define language fragments as a first-class abstraction instead. The first reason is that it would allow language designers to compose languages using a single, uniform notion of *language fragment composition*, instead of the four we used in this section to compose respectively types, values, expressions, and interpreters. The second reason is that intrinsically-typed interpreters as defined in this section are not closed under composition, since indexed algebra composition “grows” the number of canonical forms subtype constraints, as *interpArithBoolAlg* illustrates. The last reason is that it is possible for interpreters to make *conflicting assumptions* about values, as we illustrate below.

Consider the encoding below of an intrinsically-typed interpreter which uses *ternary* Booleans, akin to the `Val bool = Maybe Bool` clause discussed in Section 1.2. The *null* expression below constructs the third kind of Boolean value:

```
TernaryBoolVal : Algebra BoolTy $\Sigma$  Set
TernaryBoolVal (bool , []) = Maybe Bool
```

```

data INullExprShape  $\llbracket \_ : \text{BoolTy}\Sigma \leq \sigma \rrbracket : \mu \sigma \rightarrow \text{Set}$  where
  null : INullExprShape (inject (bool , []))

INullExpr $\Sigma$  :  $\llbracket \text{BoolTy}\Sigma \leq \sigma \rrbracket \rightarrow \text{ISignature } (\mu \sigma)$ 
INullExpr $\Sigma$  = INullExprShape  $\blacktriangleright \lambda \_ \rightarrow []$ 

interpNullAlg :  $\llbracket \_ : \text{TernaryBoolVal} \subseteq W \rrbracket \rightarrow \text{IAlgebra INullExpr}\Sigma$  (fold W)
interpNullAlg (null , []) =  $\uparrow$  nothing

```

Using algebra composition, we can compose `interpBoolAlg` with `interpNullAlg`, growing the number of subtype constraints:

```

badAlgebra :  $\llbracket \_ : \text{BoolVal} \subseteq V \rrbracket \rightarrow \llbracket \_ : \text{TernaryBoolVal} \subseteq V \rrbracket$ 
               $\rightarrow \text{IAlgebra (IBoolExpr}\Sigma :++: \text{INullExpr}\Sigma)$  (fold V)
badAlgebra = interpBoolAlg  $\oplus$ : interpNullAlg

```

This is unsatisfactory: the subtype constraints of `badAlgebra` represent an *unsound* identification of types, because `BoolVal` and `TernaryBoolVal` define different canonical forms for the `bool` type. In other words, the subtype constraints *conflict*—i.e., cannot be proven—for any  $V : \text{Algebra BoolTy}\Sigma \text{ Set}$ . The next section introduces *intrinsically-typed language fragments*, with a composition operator that avoids the issues discussed above, and enables sound intrinsically typed fragment composition.

## 4 INTRINSICALLY-TYPED LANGUAGE FRAGMENTS

In this section we introduce *language fragments*: an abstraction that bundles a set of intrinsically-typed syntax constructors with the associated cases of an interpreter. This abstraction comes with a single composition operation, *language fragment composition*, that has nice closure properties, and that subsumes the four different notions of composition that we introduced in the previous section (for object language type signatures, value typing algebras, expression data type signatures, and interpreter algebras). It thus goes towards addressing both the first and the second sub-objective stated in the introduction of this paper, by making it easier to develop type safe DSL components in a way that supports reuse.

We first discuss (Section 4.1) how to bundle these four components in a way that each component is defined as being *open*. We then discuss (Section 4.2) how to compose language fragments, and why it is necessary to allow compositions with partially-overlapping canonical forms. Finally, we present (Section 4.3) language fragment composition, which makes language fragments closed under composition. The idea of language fragments, however, transcends their formulation in this section, which concerns the definition and composition fragments of simply-typed expression languages. In Section 5, we will consider how to apply the same techniques to a more expressive semantic domain.

### 4.1 Canons and Language Fragments

*Language fragments* (**Fragment**) bundle a piece of intrinsically-typed syntax with its interpretation, and are parameterized over the available *canonical forms* (**Canon**)—i.e., a signature of object language types together with an algebra over this signature.

<pre> <b>record</b> Canon : Set <b>where</b>   <b>constructor</b> canon   <b>field</b> ty : Signature       val : Algebra ty Set </pre>	<pre> <b>record</b> Fragment (c : Canon) : Set <b>where</b>   <b>field</b> expr : ISignature (<math>\mu</math> (ty c))       interp : IAlgebra expr (fold (val c)) </pre>
---	---



A value of type **Fragment**  $c$  is a *self-contained description* of an intrinsically-typed interpreter. It is, however, defined in terms of the *fixed* set of canonical forms given by  $c$ , meaning that the composition of such fragments is limited to fragments that depend on the *same* canonical forms. To compose language fragments with *different* canonical forms, we must define them with extension of the canon in mind, similar to how we defined indexed algebras with open carriers in Section 3.4.2.

Because language fragments are a self-contained description of intrinsically-typed interpreters, we can view extensibility of canonical forms independent from the definition of fragments. To do this, we define the  $\Box$  modifier, which *closes* a canon-indexed type over all possible future extensions:<sup>14</sup>

$$\begin{aligned} \Box : (\text{Canon} \rightarrow \text{Set}) &\rightarrow \text{Canon} \rightarrow \text{Set} \\ \Box P \ c = \forall \{c'\} &\rightarrow \llbracket \text{val } c \subseteq \text{val } c' \rrbracket \rightarrow P \ c' \end{aligned}$$

We use the  $\Box$  modifier to define *open* language fragments for the intrinsically-typed interpreter components from Section 3:

$\begin{aligned} \text{ArithFrag} : \Box \text{Fragment} \ (\text{canon } \text{NatTy}\Sigma \\ \text{NatVal}) \\ \text{expr } \text{ArithFrag} &= \text{IArithExpr}\Sigma \\ \text{interp } \text{ArithFrag} &= \text{interpArithAlg} \end{aligned}$	$\begin{aligned} \text{BoolFrag} : \Box \text{Fragment} \ (\text{canon } \text{BoolTy}\Sigma \\ \text{BoolVal}) \\ \text{expr } \text{BoolFrag} &= \text{IBoolExpr}\Sigma \\ \text{interp } \text{BoolFrag} &= \text{interpBoolAlg} \end{aligned}$
---	--

The  $\Box$  modifier provides exactly the subtyping proof that **interpBoolAlg** and **interpArithAlg** need. Furthermore, it is always possible to transform an open fragment to a closed fragment, and extract an interpreter from a closed fragment:

$\begin{aligned} \text{extract} : \forall [\Box P \Rightarrow P] \\ \text{extract } \phi = \phi \llbracket \subseteq\text{-refl} \rrbracket \end{aligned}$	$\begin{aligned} \text{toInterp} : (\phi : \text{Fragment } c) &\rightarrow \forall [\text{I}\mu (\text{expr } \phi) \Rightarrow \text{fold } (\text{val } c)] \\ \text{toInterp } \phi &= \text{Ifold } (\text{interp } \phi) \end{aligned}$
--	---

## 4.2 Fragment Composition and the Need for Partially-Overlapping Canons

We define a composition operation for open fragments with the same canonical forms as follows:

$$\begin{aligned} \text{fcompose-eq} : \forall [\Box \text{Fragment} \Rightarrow \Box \text{Fragment} \Rightarrow \Box \text{Fragment}] \\ \text{expr } (\text{fcompose-eq } \phi_1 \ \phi_2) &= \text{expr } \phi_1 ++ \text{expr } \phi_2 \\ \text{interp } (\text{fcompose-eq } \phi_1 \ \phi_2) &= \text{interp } \phi_1 \oplus \text{interp } \phi_2 \end{aligned}$$

In many scenarios, however, **fcompose-eq** alone is insufficient: it is often necessary to compose fragments with *different* canonical forms. Indeed, **ArithFrag** and **BoolFrag** have different canonical forms, so we cannot compose them into a new open fragment with **fcompose-eq**.

It may seem tempting to use a composition operation that *sums* the canonical forms of fragments, but this is also problematic. Say we have a fragment that defines an interpreter for a binary less-than-or-equals expression that compares the results of evaluating its arguments:

$$\text{LeqFrag} : \Box \text{Fragment} \ (\text{canon } (\text{NatTy}\Sigma \text{ :+ } \text{BoolTy}\Sigma) \ (\text{NatVal} \oplus \text{BoolVal}))$$

A fragment that combines **LeqFrag** and **BoolFrag** by summing their canons has the following type:

$$\text{Fragment } (\text{canon } (\text{NatTy}\Sigma \text{ :+ } \text{BoolTy}\Sigma \text{ :+ } \text{BoolTy}\Sigma) \ (\text{NatVal} \oplus (\text{BoolVal} \oplus \text{BoolVal})))$$

The resulting fragment has two *distinct* notions of Boolean types and values! As a result, an expression such as **ite** (**leq** 0 1) 42 0 would be ill-typed; the **bool** type of **leq** 0 1 is not the same **bool**

<sup>14</sup>The  $\Box$  notation is borrowed from the necessity modality of modal logic. Its implementation is essentially a shallow embedding of *Kripke Semantics* [Kripke 1963] and is inspired by Allais et al. [2018].

<pre> <b>record</b> Union (A B C : Set) : Set <b>where</b>   <b>field</b>     inja  : A → C     injb  : B → C     from  : C → These A B      inja-inv : ∀ {a} →       ⟨⟨ _ ≡ a , 0 , ( _ ≡ a ) ∘ proj<sub>1</sub> ⟩⟩ (from (inja a))     injb-inv : ∀ {b} →       ⟨⟨ 0 , _ ≡ b , ( _ ≡ b ) ∘ proj<sub>2</sub> ⟩⟩ (from (injb b))     from-inv : ∀ {c} →       ⟨⟨ ( _ ≡ c ) ∘ inja , ( _ ≡ c ) ∘ injb         , (λ (a , b) → inja a ≡ c × injb b ≡ c) ⟩⟩ (from c) </pre>	<pre> <b>data</b> These (A B : Set) : Set <b>where</b>   this  : A → These A B   that  : B → These A B   these : A → B → These A B    ⟨⟨ _, _ ⟩⟩ : (A → X)               → (B → X)               → (A × B → X)               → These A B → X    ⟨⟨ f , g , h ⟩⟩ (this a ) = f a   ⟨⟨ f , g , h ⟩⟩ (that  b ) = g b   ⟨⟨ f , g , h ⟩⟩ (these a b) = h (a , b) </pre>
---	---

Fig. 3. The proof-relevant relation `Union A B C` specifies that `C` is the union of `A` and `B`. The types `A` and `B` can overlap in `C`, in which case `inja a ≡ injb b` for some elements `a : A` and `b : B`. The ternary relation uses the type `These` and its `⟨⟨ _, _ ⟩⟩` eliminator shown on the right.

type that `ite` expects. Rather, we should identify the Boolean types of the two fragments. In other words: their canonical forms are *partially overlapping*.

### 4.3 Fragment Composition with Partially-Overlapping Canons

We introduce a fragment composition operation for language fragments with partially-overlapping canons in three stages. First, we introduce *type unions* (Section 4.3.1), which precisely characterize how two types overlap. Then, we define a similar *union for canonical forms* (Section 4.3.2) in terms of this type union, which describes how two canons overlap. Finally, we define *fragment composition* (Section 4.3.3), which combines two open fragments, given a canon union that witnesses how their canonical forms are combined.

**4.3.1 Overlapping Unions for Types.** Figure 3 defines the `Union` relation, which describes how the elements of two types `A : Set` and `B : Set` map to elements in a third type `C : Set`, such that each element in `C` corresponds to an element of either `A`, `B`, or both. The correspondence is witnessed by the functions `inja`, `injb`, and `from`, which should be injective.<sup>15</sup>

There are two trivial unions which can always be constructed:

<code>union-copy : Union A A A</code>	<code>union-disjoint : Union A B (A ⊔ B)</code>
---------------------------------------	---

The function `union-copy` constructs a union of `A` with itself such that all its elements overlap with themselves. Conversely, `union-disjoint` constructs a union of two sets of types `A` and `B` such that none of their elements are identified in `A ⊔ B`. We elide the proofs of the inverse laws (`inja-inv`, `injb-inv`, and `from-inv`) here; the code accompanying this paper contains the details.

**4.3.2 Overlapping Canons.** Using the `Union` relation, we define a similar ternary union relation for canons:

```

record _•_ (c1 c2 c : Canon) : Set where
  field
    ty-union : Union (⟦ (ty c1) ⦿ T ⟧) (⟦ (ty c2) ⦿ T ⟧) (⟦ (ty c) ⦿ T ⟧)
    canonicall : {V : T → Set} {t : ⟦ (ty c1) ⦿ T ⟧}

```

<sup>15</sup>For readers wondering how general this type union is: the `Union` type is a pushout in the category of Agda `Sets`, meaning it is union-like indeed.

$$\begin{aligned}
& \rightarrow (\text{val } c_1) (\text{fmap } V t) \leftrightarrow (\text{val } c) (\text{fmap } V (\text{inja } t)) \\
\text{canonical}_r & : \{V : T \rightarrow \text{Set}\} \{t : \llbracket (\text{ty } c_2) \rrbracket T\} \\
& \rightarrow (\text{val } c_2) (\text{fmap } V t) \leftrightarrow (\text{val } c) (\text{fmap } V (\text{injb } t))
\end{aligned}$$

The canon union is directed by a type union: **ty-union** witnesses that the type constructors of  $c$  are a union of the type constructors in  $c_1$  and  $c_2$ . The key, however, are the additional proofs **canonical<sub>l</sub>** and **canonical<sub>r</sub>** that witness that the values of  $c_1$  and  $c_2$  agree in the overlap (as described by the type union) of their types. This amounts to a modularization of canonicity lemmas.

Since canon union is based on type union, we can construct similar trivial unions, where either all type constructors are overlapping (**•-copy**), or no type constructors are overlapping (**•-disjoint**), which uses the auxiliary disjoint canon union on the left):

$$\begin{array}{l|l}
\text{⊔}^c : (c_1 \ c_2 : \text{Canon}) \rightarrow \text{Canon} & \bullet\text{-copy} : c \bullet c \equiv c \\
\text{ty } (c_1 \ \text{⊔}^c \ c_2) = (\text{ty } c_1) :+ : (\text{ty } c_2) & \bullet\text{-disjoint} : c_1 \bullet c_2 \equiv (c_1 \ \text{⊔}^c \ c_2) \\
\text{val } (c_1 \ \text{⊔}^c \ c_2) = (\text{val } c_1) \oplus (\text{val } c_2) &
\end{array}$$

We show how to use **•-disjoint** and **•-copy** to compose **ArithFrag**, **BoolFrag**, and **LeqFrag** in Section 4.3.4.

**4.3.3 Fragment Composition Operation.** Using canon union, we can now define a general **fcompose** operation which lets us compose fragments with partially-overlapping canons:

$$\text{fcompose} : \square \text{ Fragment } c_1 \rightarrow \square \text{ Fragment } c_2 \rightarrow c_1 \bullet c_2 \equiv c \rightarrow \square \text{ Fragment } c$$

Perhaps surprisingly, we can implement this operation in terms of the **fcompose-eq** operation, by (1) exploiting the *comonadic structure* of  $\square$ , and (2) observing that we can recover subtyping ( $\sqsubseteq$ ) witnesses from union ( $\bullet \equiv$ ) witnesses. For  $\square$ , we already showed that it has an **extract** function Section 4.1. Additionally, we can define a **duplicate** function.<sup>16</sup>, following from transitivity of  $\sqsubseteq$ :

$$\begin{aligned}
\text{duplicate} & : \forall [ \square P \Rightarrow \square (\square P) ] \\
\text{duplicate } px \llbracket w_1 \rrbracket \llbracket w_2 \rrbracket & = px \llbracket \sqsubseteq\text{-trans } w_1 \ w_2 \rrbracket
\end{aligned}$$

The type of **duplicate** says that we can “weaken” the canon that is implicitly quantified by  $\forall[\_]$ ,

Next, we observe that canon union implies value subtyping:

$$\begin{array}{l|l}
\bullet\text{-to-}\sqsubseteq_l : c_1 \bullet c_2 \equiv c \rightarrow (\text{val } c_1) \sqsubseteq (\text{val } c) & \bullet\text{-to-}\sqsubseteq_r : c_1 \bullet c_2 \equiv c \rightarrow (\text{val } c_2) \sqsubseteq (\text{val } c)
\end{array}$$

Using these ingredients, we can define **fcompose** in terms of **fcompose-eq** as follows:

$$\begin{aligned}
\text{fcompose} & : \square \text{ Fragment } c_1 \rightarrow \square \text{ Fragment } c_2 \rightarrow c_1 \bullet c_2 \equiv c \rightarrow \square \text{ Fragment } c \\
\text{fcompose } \phi_1 \ \phi_2 \ u & = \text{fcompose-eq } (\text{duplicate } \phi_1 \llbracket \bullet\text{-to-}\sqsubseteq_l \ u \rrbracket) (\text{duplicate } \phi_2 \llbracket \bullet\text{-to-}\sqsubseteq_r \ u \rrbracket)
\end{aligned}$$

The **duplicate** function is used to “weaken” the  $c_1$  and  $c_2$  canons of the left and right fragment into the canon union  $c$ . The lemmas **•-to- $\sqsubseteq_l$**  and **•-to- $\sqsubseteq_r$**  witness that this weakening is safe.

**4.3.4 Fragment Composition Examples.** With **fcompose** we compose languages from fragments:

$$\text{ArithBoolFrag} = \text{fcompose ArithFrag BoolFrag } \bullet\text{-disjoint}$$

From this fragment, we can derive the intrinsically typed interpreter discussed in Section 1:

$$\text{InterpArithBool} = \text{toInterp } (\text{extract ArithBoolFrag})$$

We can also compose **ArithBoolFrag** with **LeqFrag**, to obtain a larger language:

$$\text{LeqArithBoolFrag} = \text{fcompose LeqFrag ArithBoolFrag } \bullet\text{-copy}$$

<sup>16</sup>The **extract** and **duplicate** functions correspond to the operations of a *comonad*.

In summary, `fcompose` addresses the three concerns we discussed in Section 3.5. It provides a single, uniform composition operation for language fragments with compatible but possibly different canonicity assumptions, such that language designers do not have to manually assemble types, values, expressions, and interpreters separately, using four different composition operators. It ensures that language fragments are closed under composition using `fcompose`. And the interpreters we extract from language fragments cannot have conflicting canonicity assumptions.

## 5 LANGUAGE FRAGMENTS WITH LEXICAL VARIABLES AND EFFECTS

Language fragments presuppose both the notion of typing and semantics. The definition of a fragment in Section 4 takes well-typed terms to be indexed families ( $\text{Expr} : \text{Type} \rightarrow \text{Set}$ ), and their semantics to be an interpreter ( $\text{interp} : \text{Expr } t \rightarrow \text{Val } t$ ). These presupposed notions limit the expressive power of fragments. For example, well-typed expressions with lexical binding are usually presented as indexed families that are additionally parameterized by a typing context. Their interpreter correspondingly requires an environment of values for variables. Well-typed expressions for ML-style references, on the other hand, have an intrinsically-typed interpretation in the category of monotone predicates [Bach Poulsen et al. 2018]. This motivates this section, in which we show that the notion of intrinsically-typed language fragments introduced in the previous section can be transported to more expressive semantic domains.

In this section we generalize language fragments to a class of semantic domains (Section 5.1) that we show can be used to define intrinsically-typed interpreters for the simply-typed  $\lambda$ -calculus (Section 5.2), exceptions (Section 5.3), and ML-style references (Section 5.4). Although intrinsically-typed language fragments can be transported to this more general setting, fragment composition only combines fragments that are interpreted into the same semantic domain. Since the examples in Sections 5.2 to 5.4 are interpreted in different domains, they cannot be combined into the same language using fragment composition. Instead, we can manually lift these fragments into a common “super domain” where they can be composed, as we demonstrate with our case study in Section 5.5. Furthermore, additional innovation may be required to modularize richer semantic domains that do not fit into the description we introduce in Section 5.1.

### 5.1 Fragments for a Class of Semantic Domains

We generalize the definition of language fragments to permit: (1) expressions that are typed relative to a context for de-Bruijn encoded lexical variables, (2) interpretation functions that accept a lexical environment as parameter, and (3) side-effects—in particular ML-style references. The generalization is based on a generalization of the codomain of interpretation functions to some *cartesian category*  $\mathcal{C}$ —that is, a category with all products and a terminal object. Informally, the semantic domains presupposed by the definition of fragments from Section 4 vs. the definition we consider in this section differ as follows:

	Typing	Interpretation of types	Interpretation of typed terms
Section 4	$e : t$	$\text{Val } t : \text{Set}$	$\text{interp } (e : t) : \text{Val } t$
Section 5	$\Gamma \vdash e : t$	$\text{Val } t : \text{obj}(\mathcal{C})$	$\text{interp } (\Gamma \vdash e : t) : \mathcal{C}(\text{Env } \Gamma, \text{Val } t)$

Here,  $\text{obj}(\mathcal{C})$  represents the objects of  $\mathcal{C}$ , and  $\mathcal{C}(\text{Env } \Gamma, \text{Val } t)$  represents the morphisms from  $\text{Env } \Gamma$  to  $\text{Val } t$  in  $\mathcal{C}$ .

In Agda, we can define a type of categories `Category0` and a generalized canon `Canon0` that maps types to objects of some category  $\mathcal{C}_0$  as follows:

```

record DomainDesc : Set where
  field obj      : (T : Set) → Set
  morph : (V : T → obj T) → (X Y : obj T) → Set

record Canon : Set where
  constructor canon
  field
    ty : Signature
    val : ∀ {T} → [ ty ] (T × obj  $\mathcal{D}$  T)
           → obj  $\mathcal{D}$  T

record Fragment (c : Canon) : Set where
  field
    expr : ISignature (List ( $\mu$  (ty c)) ×  $\mu$  (ty c))
    interp : IAlgebra expr
              (λ (Γ , t) → morph  $\mathcal{D}$  (fold (val c))
                (Env c Γ)
                (fold (val c) t)))

```

Fig. 4. Definition of categories, canons, and language fragments. The domain description  $\mathcal{D}$  is a module parameter, and  $\text{Env } c \Gamma$  is a de Bruijn indexed environment comprising value objects whose canonical forms are given by the canon  $c$ .

```

record Category0 : Set where
  field obj      : Set
  morph : obj → obj → Set

record Canon0 : Set where
  field ty : Signature
  val : Algebra ty (obj  $\mathcal{C}_0$ )

```

However, it is not possible to define a canon for ML-style reference values in this style! The problem is that the  $\text{Canon}_0$  type assumes that we can compositionally map types onto objects of  $\mathcal{C}_0$ . This is not true for ML-style references. To see the issue, consider the following mock case of a  $\text{refCanon} : \text{Canon}_0$  that we wish to define, assuming that  $\mathcal{C}_0$  is a category of monotone predicates; i.e.,  $\text{obj } \mathcal{C}_0 = \text{List } T \rightarrow \text{Set}$ .

```

val refCanon (ref , V :: []) = λ Σ → ???

```

Following how references are traditionally typed [Harper 1994; Pierce 2002], the right hand side is supposed to witness that there exists an location of type  $t$  in the store type  $\Sigma$ , where  $t$  is a subterm of  $\text{ref } t$ . However, since  $\text{Canon}_0$  defines values as plain algebras, the mock case above does not have access to the subterm  $t$ ; only to the object  $V : \text{obj } \mathcal{C}_0$  resulting from folding over the sub-term  $t$ .

It is possible to construct a compositional interpretation of reference types—that is, in terms of the object  $V$ —in the context of *semantic typing*, where types are viewed as a *set of values*. In our setting this would correspond to defining store types as a list of *Sets*, and mapping reference types to a proof that  $V$  is a member of this list. If we naively attempt to define values this way, however, their definition becomes inconsistent, since the type of these membership proofs is simultaneously bigger than and included in the set of values! Although we can escape this paradox by stratifying the interpretation of types [Ahmed 2004; Ahmed et al. 2002], we would need to find a way to adapt the intrinsically-typed semantics for ML-style references by Bach Poulsen et al. [2018] to interpret into such a layered domain.

Instead, we opt to generalize canons and categories to reflect that the set of objects and morphisms comprise components assembled from indexed signatures and algebras. This generalized definition is shown and Figure 4. Although similar, the explicit injection of types and values means that the resulting structure (defined in the  $\text{DomainDesc}$  record) is not quite a category. We will refer to this

structure as a *domain description*, using  $\mathcal{D}$  to range over it. The move from categories to domain descriptions requires three generalizations:

- (1) Canons are generalized to use a flavor of algebra that supports *paramorphisms* [Meertens 1992]—i.e., a recursion scheme that provides access to each sub-term both before and after we have recursively folded over it. The **val** field of **Canon** in Figure 4 shows the generalization, which maps a pair of the original sub-term of type  $T$  and its folded counterpart of type **obj**  $\mathcal{D} T$  to an object of type **obj**  $\mathcal{D} T$ , where  $T$  is the final set of object language types.
- (2) Objects of a domain description may depend on a final type of object language types, as the  $T : \text{Set}$  parameter of the **obj** field of **DomainDesc** in Figure 4 indicates. This generalization is used to define modular paramorphic algebras for which we only learn the final type of object language types after we are done composing all canons and fragments.
- (3) Morphisms of domain descriptions may depend on the final value typing  $T \rightarrow \text{obj } \mathcal{D} T$ , as the first parameter of the **morph** field of **Fragment** in Figure 4 indicates. This generalization is used to define domain descriptions whose morphisms depend on value typings. For example, to define ML-style references Section 5.4 we use morphisms that implicitly thread stores with values that depend on value typings.

Using these generalizations, the **Fragment** type in Figure 4 ensures that a fragment closure  $\square \text{Fragment}$  will use the final canon of the language as the definition of the final set of types and the final set of value typings which objects and morphisms depend on.

By deriving  $\mathcal{D}$  from the category of Agda **Sets** (i.e., objects are types in **Set** and morphisms are Agda functions) we regain the framework as defined in Section 4, but now extended with the necessary infrastructure for variables and stores. It is straightforward to transport the fragments we developed in Section 4 to this more expressive setup.

Before we can define intrinsically-typed fragment instances in this more expressive setting, we also need to transport the definitions of isomorphism, canon union, and canon subtyping that we introduced in Section 3.1 and Section 4. The essential ingredient of these definitions is the notion of isomorphism. Lifting this notion isomorphism to relate objects of domain descriptions via morphisms of domain descriptions, all of the definitions from before translate straightforwardly. The safe upcasting and downcasting operations for translating between the value typings of the fragment canon and the final fragment look more involved because of the switch to paramorphisms:

$$\begin{aligned} \uparrow : \forall \_ : c_1 \subseteq c \ \{t\} &\rightarrow \text{morph } \mathcal{D} \_ (\text{val } c_1 (\text{fmap } (\lambda t \rightarrow t, \text{fold } (\text{val } c) t) t)) \\ &\quad (\text{fold } (\text{val } c) (\text{inject } t)) \\ \downarrow : \forall \_ : c_1 \subseteq c \ \{t\} &\rightarrow \text{morph } \mathcal{D} \_ (\text{fold } (\text{val } c) (\text{inject } t)) \\ &\quad (\text{val } c_1 (\text{fmap } (\lambda t \rightarrow t, \text{fold } (\text{val } c) t) t)) \end{aligned}$$

In the remainder of this section we illustrate how this more expressive variant of language fragments allows us to define language fragments for different language features and effects.

## 5.2 Simply-Typed Lambda Calculus

As our first example, we consider how to define a fragment for the simply-typed  $\lambda$ -calculus. We instantiate the definitions from Section 5.1 with a domain description based on the category of Agda **Sets**:

```
Sets : DomainDesc
obj   Sets T      = Set
morph Sets V A B = A → B
```

We use the following canon, interpreting types in **Set**:



```

data TFunShape : Set where
  fun : TFunShape
TFunΣ = TFunShape ▷ λ where
  fun → 2

funCanon : Canon
ty funCanon = TFunΣ
val funCanon (fun , (s , V) :: (t , W) :: []) = V → W
fun' : ∥ TFunΣ ≤ σ ∥ → (s t : μ σ) → μ σ
fun' s t = inject (fun , (s :: t :: []))

```

Here, we see the impact of using a paramorphism: the arguments to `fun` are not just replaced by their value ( $V/W$ ), but paired with the original type ( $s/t$ ) as well. The interpretation of function types is defined solely in terms of  $V$  and  $W$ , but we will need access to the uninterpreted recursive argument to define a language fragment for ML-style references (Section 5.4).

The signature `LamExprΣ` defines the three standard constructs of the  $\lambda$  calculus:

```

data LamExprShape ∥ _ : TFunΣ ≤ σ ∥ : List (μ σ) × μ σ → Set where
  var : t ∈ Γ → LamExprShape (Γ , t)
  abs : LamExprShape (Γ , fun' s t)
  app : {s : μ σ} → LamExprShape (Γ , t)

LamExprΣ : ∥ TFunΣ ≤ σ ∥ → ISignature (List (μ σ) × μ σ)
LamExprΣ = LamExprShape ► λ where
  (var x)      → []
  (abs {Γ}{s}{t}) → (s :: Γ , t) :: []
  (app {Γ}{t}{s}) → (Γ , fun' s t) :: (Γ , s) :: []

```

The `var` and `abs` constructors demonstrate the need for tracking a type context  $\Gamma$ . To reference a variable we must supply a witness  $t \in \Gamma$  proving that it is in scope, and the type context of the function body is extended with the argument type  $s$  when constructing a  $\lambda$ -abstraction.

We then define a language fragment for the simply-typed  $\lambda$ -calculus as follows:

```

stlc : □ Fragment funCanon
expr stlc = LamExprΣ
interp stlc (var x , []) nv = fetch x nv
interp stlc (abs , e :: []) nv = ↑ (λ v → e (v , nv))
interp stlc (app , e1 :: e2 :: []) nv = ↓ (e1 nv) (e2 nv)

```

Variables are interpreted by invoking the function `fetch` :  $t \in \Gamma \rightarrow \text{Env } \Gamma \rightarrow \text{fold } (\text{val } c) t$ , which performs a safe lookup in the environment. Since function types are mapped to Agda functions, we can reuse Agda's function abstraction and application to interpret the `abs` and `app` constructors.

### 5.3 Exceptions

Next, we consider a language fragment for *safe division*, which raises an exception when the divisor is zero. In general, we can define effectful fragments by choosing a suitable *monad* [Moggi 1989] that encapsulates the effects, and instantiating with a domain description based on the corresponding *Kleisli category* (which applies the monad to the target object of morphisms). For exceptions we use `Maybe`, which is a monad on the category `Sets`. The corresponding domain description is defined as follows:

```

MSets : DomainDesc
obj MSets _ = Set
morph MSets _ A B = A → Maybe B

```

With this description, terms are interpreted as a function with type  $\text{Env } \Gamma \rightarrow \text{Maybe } (\text{fold } (\text{val } c) t)$ . This allows us to implement an interpreter for `div`, which returns `nothing` if the divisor is `zero`, where the function `_/_` takes an (automatically inferred) proof that the divisor is greater than zero.

```

divide :  $\square$  Fragment natCanon
expr divide = DivExpr $\Sigma$ 
interp divide (div , m1 :: m2 :: []) nv = do
  v1  $\leftarrow$  m1 nv  $\gg$   $\downarrow$ 
  v2  $\leftarrow$  m2 nv  $\gg$   $\downarrow$ 
  case v2 of  $\lambda$  where
    zero    $\rightarrow$  nothing
    (suc n)  $\rightarrow$   $\uparrow$  (v1 / suc n)

```

Rather than working with values of the `Maybe` type directly, we use Agda's `do`-notation<sup>17</sup> as syntactic sugar for monadic computation, as well as the  $\gg$  operator which denotes monadic bind [Moggi 1991]. Note that since the domain description is based on a Kleisli category, the result of up- and down casting (which are defined as morphisms) is now also wrapped in a `Maybe`.

#### 5.4 ML-Style References

Finally, we consider how to define a language fragment for ML-style references, based on the intrinsically-typed semantics by Bach Poulsen et al. [2018]. We interpret into a domain based on a Kleisli category generated from the description `ST`, which has store-type-indexed `Sets` as objects and index-preserving functions as morphisms. We will discuss the relevant monad shortly.

```

ST : DomainDesc
obj   ST T   = List T  $\rightarrow$  Set
morph ST V P Q =  $\llbracket \text{Weakenable } V \rrbracket \rightarrow \forall [ P \Rightarrow Q ]$ 

```

In the definition of `ST`, we require access to the syntax of types ( $T$ ) and their interpretation ( $V$ ) to define the sets of objects and morphisms. Store types are defined in terms of  $T$ , and to interpret ML-style references, we need to express the assumption that  $V$  is *weakenable*: every value that is well-typed relative to a given store, can also be typed with a *bigger* store.

Note that the `ST` description defined above admits non-monotone predicates as objects; that is, objects are not guaranteed to be `Weakenable`. We could rectify this by requiring objects to be weakenable; i.e.:

```

ST' : DomainDesc
obj   ST' T   =  $\exists \lambda (P : \text{List } T \rightarrow \text{Set}) \rightarrow \text{Weakenable } (\text{const } P)$ 
morph ST' V P Q =  $\forall [ \text{proj}_1 P \Rightarrow \text{proj}_1 Q ]$ 

```

However, that would clutter the resulting interpreter, which relies on dependent pattern matching on objects. For that reason, we use `ST` which has less structure, but which allows us to explicitly assume that predicates are weakenable when we need it.

The canon for references shows why `val` needs to be a paramorphism: the interpretation of the type `ref t` is a proof of the form  $t \in \Sigma$ , which makes `val` non-compositional.

<sup>17</sup><https://agda.readthedocs.io/en/v2.6.2.2/language/syntactic-sugar.html>

<pre> Ref : T → List T → Set Ref t Σ = t ∈ Σ  <b>record</b> Mem (M : Monad ST) : Set <b>where</b>   <b>field</b>     alloc   : ∀[ V t ⇒ M V (Ref t) ]     retrieve : ∀[ Ref t ⇒ M V (V t) ]     write   : ∀[ Ref t ⇒ V t ⇒ M V U ] </pre>	<pre> refs : { Mem M } → □ Fragment refCanon expr refs = RefExprΣ <b>interp</b> refs (init , m :: []) nv = <b>do</b>   v ← m nv   alloc v ⋈↑ <b>interp</b> refs (deref , m :: []) nv = <b>do</b>   l ← m nv ⋈↓   retrieve l <b>interp</b> refs (update , m<sub>1</sub> :: m<sub>2</sub> :: []) nv = <b>do</b>   (l , nv) ← ( m<sub>1</sub> nv ⋈↓ ) ^ nv &lt; wk-env &gt;   (v , l ) ← m<sub>2</sub> nv      ^ l   &lt; wk-ref  &gt;   write l v ⋈↑ </pre>
---	---

Fig. 5. Definition of the `Mem` interface, and a fragment for ML-style references

<pre> <b>data</b> TRefShape : Set <b>where</b>   ref unit : TRefShape TRefΣ = TRefShape ▷ λ <b>where</b>   ref  → 1   unit → 0 </pre>	<pre> refCanon : Canon <b>ty</b> refCanon = TRefΣ <b>val</b> refCanon (ref , (t , V) :: []) Σ = t ∈ Σ <b>val</b> refCanon (unit ,      [] ) Σ = ⊤ </pre>
---	--

Interpreting ML-style references has side effects, in the sense that the interpreter can modify a global store. To define the interpreter for ML-style references, we require a monad over store predicates that encapsulates this interaction with the store. Rather than settling on a particular monad, we keep it abstract, and assume that it satisfies the `Mem` interface (Figure 5, left), which provides the operations `alloc`, `retrieve`, and `write`. Following Bach Poulsen et al. [2018], we also require that the chosen monad has *tensorial strength* [Moggi 1991], meaning it supports the following operation, where  $\cap$  is the usual product type lifted to predicates:

$$\_ \wedge \_ : \forall [ M P \Rightarrow Q \Rightarrow M (P \cap Q) ]$$

We use this operation whenever we compute a value, but need to perform more computations before we can return that value. This situation occurs, for example, in the `update` case of the interpreter in Figure 5. Since computations may change the store, it is not immediately clear that previously computed values are still typeable relative to the updated store after running these computations. The strength operation allows us to pass these values back into the monad by pairing them with a computation, updating their store typing along the way. The key to implementing strength is to assume that the store only ever increases monotonically during execution (i.e., values can be added or changed, but never deleted), and to require that strength can only be applied to values that are weakenable with respect to this ordering. The interpreter itself (Figure 5, right) is then defined in terms of the operations provided by these interfaces, and defines interpretation for `init`, `deref`, and `update` expressions, which respectively create, read from, and update a reference. Hence, we can use this fragment with any monad that satisfies the `Mem` interface and has tensorial strength.

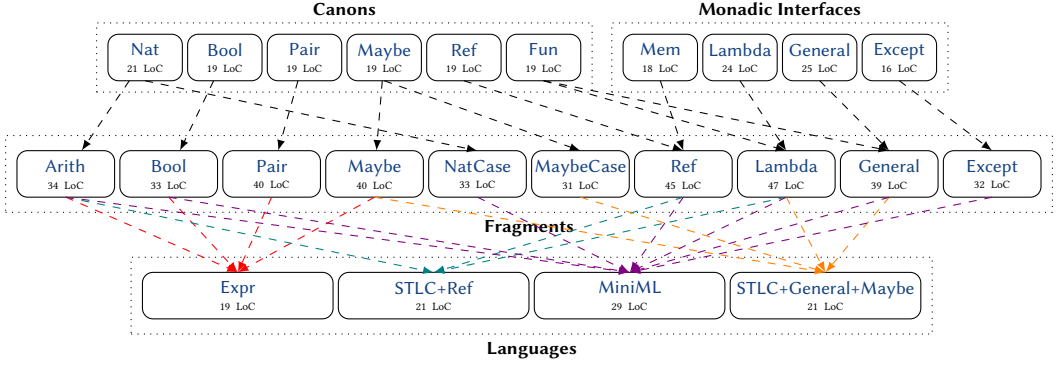


Fig. 6. Overview of canons, interfaces, fragments, and languages, together with their dependencies.

### 5.5 Case Study

To evaluate our approach we defined a small library of fragments as a case study.<sup>18</sup> Figure 6 shows an overview of the **Canons**, monadic interfaces, **Fragments**, and languages that we implemented. Nodes are Agda **modules**, and dashed arrows are **imports**. For each module, we indicate the line count of the corresponding file. In addition to the **Mem** interface from Section 5.4, we assume three additional monadic interfaces: **Lambda** (which provides operations for function abstraction and application), **General** (which provides an operation for general recursion), and **Except** (which provides operations for throwing and catching exceptions). It is possible to construct many more languages than shown in the figure, since any unique combination of fragments can be composed into a unique language.

The combination of ML-style references and functions means that, even without assuming the **General** interface, we can encode general recursion using Landin’s Knot. Thus, our interpreter must also be able to assign a semantics to non-terminating programs. A common technique for representing (potentially) non-terminating computations in a total language like Agda, that we also use for our case study, is to use a *fueled interpreter* [Amin and Rompf 2017; Owens et al. 2016]. A computation that may either return an *A* or diverge, is represented as a function of type  $\mathbb{N} \rightarrow \text{Maybe } A$  that returns a *just* if it finishes computing before running out of fuel, and *nothing* otherwise.

The type of fragment composition necessitates that we define all the fragments from this case study using the same semantic domain. To support a fragment for ML-style references, this must be the same semantic domain that we developed in Section 5.4, hence, we cannot use the exact fragments for the simply-typed lambda calculus and exceptions that we defined in Section 5.2 and Section 5.3. Instead we must re-define them to interpret into the new semantic domain. To extract an interpreter for composed languages, we must also provide a monad that instantiates monadic interfaces, such as the **Mem** interface in Figure 5. Our case study achieves this by using a monad that simultaneously instantiates *all* of the interfaces in Figure 6.

The **Lambda** fragment maps a lambda expression to a monadic operation which accepts a monadic operation (the function body) as input, and produces a closure value as output. Choosing a monad that has *both* this operation *and* satisfies the **Mem** interface discussed in Section 5.4 requires some care. We cannot use Agda functions to represent function values, as this results in a *recursive domain equation*: a mutual dependency between values and stores which Agda’s termination checker will

<sup>18</sup>The case study is available as part of the accompanying artifact [Van der Rest et al. 2022].

(rightly) reject. To solve this problem, we adopt a flavor of effect handler that is similar to the *latent effect handlers* of Van den Berg et al. [2021].

## 5.6 Discussion

In this section, we set out to show that our approach to intrinsically-typed and intrinsically compositional language fragments can be transported from the rather simple semantic domain for which we presented the ingredients in Section 4 to a much richer semantic domain from the state-of-the-art in intrinsically-typed interpreters. The key idea of our approach is that the extrinsic proof obligations of both type safety and fragment composition can be made intrinsic to fragment well-typing. We argued that the benefits of this approach are that it helps language component developers to get their semantics right from the start, and that it reduces code size. Our case study indeed demonstrated that these benefits are upheld when we employ the same approach in the relevant semantic domain.

At the same time, the case study also showed that the specifics of particular semantic domains come with their own challenges regarding compositionality. Furthermore, reuse is limited to the chosen semantic domain, which is selected upfront. To use the presented model for compositional fragments as the underpinning for a meta-language for DSL development, one needs to select a class of domains that is expressive enough to cover a large set of desired DSLs. Making this selection and tackling the compositionality challenges that are specific to that class of domains is not addressed by this paper.

## 6 RELATED WORK

We have presented an approach to constructing type-safe languages from composable, type-safe language fragments. As described in previous sections, our approach builds on *data types à la carte* [Swierstra 2008]. Here, we describe other related work.

*Meta-Theory à la Carte.* Our work is closely related to previous work on *Meta-Theory à la Carte* (MTC) [Delaware et al. 2013a], *Modular Monadic Meta-Theory* (3MT) [Delaware et al. 2013b], and *Generic Data Types à la Carte* (GDTC) [Keuchel and Schrijvers 2013].

Delaware et al. [2013a] and Keuchel and Schrijvers [2013] focus on *pure* language features, and support binders by using *parameterized higher-order abstract syntax* [Chlipala 2008]. Other than binding, they do not consider effectful language features. Delaware et al. [2013b] extend the MTC approach with effects, using monadic interfaces similar to *Mem* in Figure 5. They also construct their monads modularly using *monad transformers* [Liang et al. 1995]. We did not build our monad modularly, as that would require composing different domain descriptions, which is an open question.

We need on average 37 LoC (counted with Al Danial’s `cloc` tool) to implement verified language fragments. If we also count lines of code for definitions of canonical forms and monadic interfaces, this number approximately doubles. In comparison, MTC and GDTC report needing respectively on average 1100 LoC and 1050 LoC to define and verify similar language features. In other words, the difference in code size between our intrinsically-typed approach and the extrinsic approach found in previous work is about an order of magnitude.

Our framework code is also more concise: we use 859 LoC, whereas MTC uses 2500 LoC, GDTC uses 3500 LoC, and 3MT uses 4400 LoC. We use the Agda Standard Library for lists, relations over lists, functions for working with functions and predicates, and more. Previous works also seem to use Coq’s standard library, but perhaps to a lesser extent.

Some of the difference in code sizes can be ascribed to code that deals with “wrong” cases, since these cases are absent in intrinsically-typed interpreters. Our language fragment abstraction also

saves code when composing languages. This abstraction does not exist in the MTC frameworks, where language features are the sum of their parts (i.e., types, expressions, values, type system, interpreter, and type safety proof). In our framework all of these parts are summed using a single operation: fragment composition.

*Generic Programming and Meta-Theory.* A key technique in our work is to encode data type descriptions as signatures. The *Signature* type we used as our data type description is known as a *container* [Abbott et al. 2005; Altenkirch et al. 2015]. The *universe of syntaxes* encoding [Chapman et al. 2010; Dagand 2013] (which we will call just “universe encoding”) encodes data type descriptions in a different way but encodes the same class of data types as containers do. The difference is in how the encoding is defined: a universe encoding is akin to the syntactic representation of a grammar, whereas the container encoding corresponds to a bag of symbols with associated arities. For generic programming applications that operate on the *syntax of data types itself*, the universe encoding is often preferred. For example, *ornamentation* is a technique for “decorating” data types with additional structure [Dagand 2017; Dagand and McBride 2014; Ko and Gibbons 2017; McBride 2011].

Allais et al. [2018] use the universe encoding to implement a generic framework for *syntaxes with variable binding*, enabling binding-aware generic programming, with generic correctness guarantees. Whereas our paper focuses on the problem of defining an intrinsically-typed interpreter in a modular way, the work of Allais et al. [2018] suggests a promising direction for meta-theoretical reasoning about object languages and interpreters defined using similar generic programming techniques as our framework. Whether it is possible to apply the generic meta-theoretical reasoning techniques of Allais et al. [2018] to the style of modular intrinsically-typed interpreters we develop in this paper is an interesting question for future work.

*Final tag-less interpreters* [Carette et al. 2009] represent a different flavor of generic programming for implementing type safe definitional interpreters. In particular Carette et al. [2009] showed that it is possible to implement type safe interpreters by modeling object language expression constructors as an abstract interface which, behind the scenes, is constructing a meta-language program that corresponds to interpreting the object language expression. The resulting interpretation of the object language expression is type safe because meta-language programs are type safe. *Object algebras* [d. S. Oliveira and Cook 2012] are based on a similar idea, but for object oriented meta-languages. Bahr and Hvitved [2012] extend the final tag-less approach to intrinsically-typed definitional interpreters in Haskell. Their intrinsically-typed interpreter does not treat value types as open, but does support open object language types, by using Haskell types as object language types. A similar result was achieved by Parreaux et al. [2019] in Scala. It is unclear how the final tag-less approach can be used to define type safe semantics of languages with effects that are not built into the meta-language.

*Other Approaches to Modular Semantics and their Proofs.* Cimini et al. [2020] extrinsically verify type safety of language specifications by developing a meta type-system for type-safe language specifications. A (meta) type-correct specification yields a type-safe semantics. Language definitions are monolithic, and cannot be constructed from separately checked fragments. Their approach uses reduction semantics, which has some modularity issues. For example, if we add support for exception handlers, we need to copy-paste the current reduction context definition to express a context up-to the closest handler. On the other hand, their approach make it easy to verify type safety of new extensions, since that is done automatically by their meta-language type checker.

Schwaab and Siek [2013] present an Agda formalization of a modular extrinsic type safety proof for a small-step operational semantics defined using a variant [Norell 2008] of the universe encoding due to Chapman et al. [2010]; Dagand [2013] discussed above. The approach is closely related to the extrinsic modular proofs found in MTC [Delaware et al. 2013b], but is based on modular



progress/preservation lemmas about a small-step transition relation. A direct comparison with MTC is difficult because of the difference in meta- and object language (Schwaab and Siek [2013] encode a simple object language with just numbers and lists), but we expect that progress/preservation style requires more LOC per feature. On the other hand, progress/preservation lemmas are a time tested paradigm for type safety proofs. As shown by Wadler et al. [2020], it is possible to marry the intrinsically-typed approach with the small-step style. We expect that it is possible to modularize intrinsically-typed small-step semantics using similar techniques as we use in our framework, but leave verification of this expectation for future research.

Modular Structural Operational Semantics (MSOS) [Mosses 2004] is a framework for modularly specifying small-step operational semantics. Madlener et al. [2011] show how to implement this framework in the Coq proof assistant, and how to do modular proofs about the small-step transition relation. In a related line of work, Torrini and Schrijvers [2015] describe a different method for modular proofs in Coq, and provide, as case study, a modular extrinsic type safety proof about a modularly-specified small-step transition relation. Churchill et al. [2015] use an extension of MSOS [Churchill and Mosses 2013] to modularly specify the static and dynamic semantics of a collection of individual fundamental programming constructs, but do not establish type safety.

*Interaction Trees* [Xia et al. 2020] are a general purpose coinductive data structure for representing effectful and non-terminating computations based on the *freer monad* [Kiselyov and Ishii 2015; Kiselyov et al. 2013]. As such they support modular reasoning by defining the “visible events” (i.e., effects) of a computation as a functor signature, with the type of interaction trees being closed under the co-product of these signatures. In later work, Zakowski et al. [2021] used interaction trees to give a formal semantics for LLVM IR. The flavor of effect handlers that we used to define a semantics for MiniML in Section 5.5 bears some semblance to interaction trees, but to explore their relation in more detail is a subject of further study.

In his popular textbook on *Types and Programming Languages*, Pierce [2002] makes use of *canonical forms* lemmas to make type safety proofs *robust* as new language fragments are gradually introduced. He attributes the idea of using canonical forms lemmas to Bob Harper (no citation given). We have shown how to define interpreters and language fragments in a way that guarantees that the subset of values that an interpreter- or language fragment knows about is guaranteed to be canonical, essentially making language fragments *robust by construction*.

## 7 CONCLUSION

In this paper, we presented a framework for defining composable and safe by construction language fragments that can be checked in isolation and safely reused to build type safe languages. This makes it easier to develop and reuse interpreters that do not go wrong, and reduces the overhead traditionally associated with modular verification of type safety. This makes mechanized meta-theory available to a wider audience of DSL developers.

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